

# Type Theory

Sixth Summer School on Formal Techniques

May 2016



Dr Stéphane Graham-Lengrand

[Stephane.Lengrand@Polytechnique.edu](mailto:Stephane.Lengrand@Polytechnique.edu)

## In brief

---

Type theory can be viewed as an area of Logic. . .

. . . that is concerned not only with the semantics of **formulae** (e.g. in {true, false})

. . . but also with the semantics of **proofs**

Semantics of programs perhaps more natural than semantics of proofs

Type theory exploits this, based on the proofs-as-programs paradigm

(a.k.a. Curry-Howard correspondence)

Type theory is based on types as in a (functional) programming language

More precisely: based on typed  $\lambda$ -calculus

# Contents

---

- I. The  $\lambda$ -calculus and simple types
- II. Intuitionistic logic
- III. Computing with intuitionistic proofs
- IV. Putting it all together: HOL with proof-terms, Dependent types
- V. Special treatment of equality: interpretation of its proofs
- VI. Appendix

# I. The $\lambda$ -calculus and simple types

## Refreshing your memory: the $\lambda$ -calculus

---

Three constructs:

- variables, e.g.  $x, y, z$
- applications, e.g.  $t u$
- $\lambda$ -abstractions, e.g.  $\lambda x.t$

In other words:

$$t, u, v, \dots ::= x \mid t u \mid \lambda x.t$$

What is  $\lambda x.x$ ? What is  $\lambda y.y$ ?

What is  $\lambda x.\lambda y.x$ ? What is  $\lambda x.\lambda y.y x$ ?

$\beta$ -reduction:  $(\lambda x.t) u \longrightarrow \{u/x\}t$

How many ways to reduce

$(\lambda x.\lambda x'.x') ((\lambda y.y) z) ((\lambda y.y) z')$ ?

$$\frac{}{\Delta, x:A \vdash x:A}$$

$$\frac{\Delta \vdash t:A \rightarrow B \quad \Delta \vdash u:A}{\Delta \vdash t u:B}$$

$$\frac{\Delta, x:A \vdash t:B}{\Delta \vdash \lambda x.t:A \rightarrow B}$$

Where  $\Delta$  is a **typing context**, and  $A$  and  $B$  are **types** ranging over

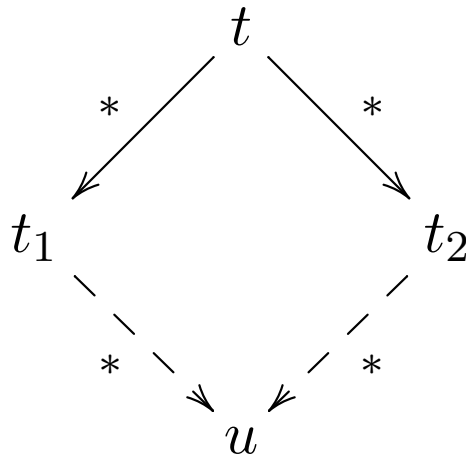
$$A, B, C, \dots ::= a \mid A \rightarrow B$$

( $a$  ranges over base types)

## Confluence

**Theorem:** the relation  $\longrightarrow$  is *confluent*, i.e.

If  $t \longrightarrow^* t_1$  and  $t \longrightarrow^* t_2$ , then there exists  $u$  such that  $t_1 \longrightarrow^* u$  and  $t_2 \longrightarrow^* u$



$\longrightarrow^*$  is reflexive and transitive closure of  $\longrightarrow$

$\longleftarrow^*$  is reflexive, transitive and symmetric closure of  $\longrightarrow$

**Proof:** not today

**Corollary:** Irreducible forms are unique, i.e.

Given a  $\lambda$ -term  $t$ , there is **at most one** irreducible  $u$  such that  $t \longrightarrow^* u$

**Existence** of  $u$ ?

What about  $\omega = (\lambda x.x x) (\lambda x.x x)$  ? What about  $(\lambda x.y) \omega$  ?

## Motivation for typing

---

Reason why some terms are non-normalising lies in the question of whether

applying a function to itself ( $x\ x$ ) makes mathematical sense

(Issue very close to whether or not a predicate can apply to itself  $P(P)$  or whether a set can belong to itself  $y \in y$ )

Has to do with controlling the **domain** of function  $x$

In Set theory:

if  $f : A \longrightarrow B$  and  $x \in A$  then writing  $f(x)$  “makes sense” and  $f(x) \in B$

But we don't need Set theory for that:

Abstract away from sets to retain only the necessary ingredients for controlling domains and applications of functions to arguments

$x \in A$  becomes  $x : A$

This is the notion of *typing*

This is a *purely syntactic* notion

## About typing

---

Are these  $\lambda$ -term typable?

$$\lambda x. \lambda y. y x$$

$$\lambda x. \lambda y. x (y x)$$

$$\lambda x. \lambda y. \lambda z. z (y x) (x y)$$

$$(\lambda x. x x) (\lambda x. x x)$$

**Remark:** If  $\Delta \vdash t : A$  then  $\text{FV}(t)$  is included in the domain of  $\Delta$

**Reduction preserves typing:** If  $\Delta \vdash t : A$  and  $t \longrightarrow t'$  then  $\Delta \vdash t' : A$

**Proof:** easy induction on the inductive property  $t \longrightarrow t'$

**Termination:** If  $\Delta \vdash t : A$  then all reduction paths starting from  $t$  are finite

**Proof:** not today



## The $\lambda$ -calculus

---

- models the core of functional programming languages
- is used in Higher-Order Logic (HOL) to construct predicates

(e.g.  $\lambda x^a . \lambda y^a . \forall z^{a \rightarrow \text{Prop}} (z x \Rightarrow z y)$ )

In both cases, typing plays an important role.

A slogan (Milner 78): “Well-typed programs cannot go wrong”

In Higher-Order Logic, it prevents us from applying a predicate to itself, e.g.  $P(P)$ .

This was (essentially) allowed in Frege’s foundation for mathematics, which Russell’s paradox showed inconsistent.

In **type theory**: typed  $\lambda$ -calculus also used to represent **proofs**

## Connection with proofs

---

Let's look at fragment of Natural Deduction for **implication** only:

$$\frac{}{\Gamma, P \vdash P}$$
$$\frac{\Gamma \vdash P \Rightarrow Q \quad \Gamma \vdash P}{\Gamma \vdash Q}$$
$$\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q}$$

Now let's look again at the typing rules for  $\lambda$ -calculus:

$$\frac{}{\Delta, x:A \vdash x:A}$$
$$\frac{\Delta \vdash t:A \rightarrow B \quad \Delta \vdash u:A}{\Delta \vdash tu:B}$$
$$\frac{\Delta, x:A \vdash t:B}{\Delta \vdash \lambda x.t:A \rightarrow B}$$

What can we say?

## More precisely

---

Propositions are Types

Proofs are Programs

Every proof tree can be **annotated** to be the typing tree of some  $\lambda$ -term

( $\lambda$ -calculus variables annotate hypotheses, a  $\lambda$ -term annotates the conclusion)

Conversely:

Every typing tree, for some  $\lambda$ -term  $t$ , can be turned into a proof tree,

simply by **hiding** variables and  $\lambda$ -term annotations

It is the **Curry-Howard isomorphism**

Proving a given statement = finding inhabitant of a given type (proving = programming)

## Exercise

---

Give a proof of

$$\vdash P \Rightarrow P$$

What is the  $\lambda$ -term annotating the proof?

Give a proof of

$$\vdash P_1 \Rightarrow (P_2 \Rightarrow P_1)$$

What is the  $\lambda$ -term annotating the proof?

Give a proof of

$$\vdash (P_1 \Rightarrow (P_2 \Rightarrow P_3)) \Rightarrow (P_1 \Rightarrow P_2) \Rightarrow P_1 \Rightarrow P_3$$

What is the  $\lambda$ -term annotating the proof?

Give a proof of

$$\vdash ((P_1 \Rightarrow P_2) \Rightarrow P_1) \Rightarrow P_1$$

What is the truth table for this formula?

## **II. Intuitionistic logic**

## The drinker's theorem

“There is always someone such that, if he drinks, everybody drinks”



$$\exists x(\text{DRINKS}(x) \Rightarrow \forall y \text{ DRINKS}(y))$$

## Proof - Informal

---

Take the first guy you see, call it Bob.

Either Bob does not drink,

in which case he satisfies the predicate “if he drinks, everybody drinks”

... or Bob drinks, in which case we have to check that everybody else drinks

If this is the case, then again Bob is the person we are looking for

If we find someone who does not drink, call it Frank,

we change our mind and say that the guy we are looking for is Frank

We can turn this into a formal proof of the formula  $\exists x (\text{DRINKS}(x) \Rightarrow \forall y \text{DRINKS}(y))$

in predicate logic. . .

... using the rule

$$\frac{}{\Gamma \vdash P \vee \neg P} \text{ Law of Excluded Middle}$$

## Problem with this

---

We have proved the theorem

... but we are still incapable of identifying the person satisfying the property  
(or rather, our choice depends on the context)

In other words, we fail to provide **a witness of existence**

In other words, the logic we use does not have **the witness property**

The logic we use **lacks a certain dose of constructivism**



## Lack of witness, another example

---

Predicate  $P$ : assuming  $P(0), \neg P(2)$

Can we **prove** that **there is** an integer  $x$  such that  $P(x) \wedge \neg P(x + 1)$ ?

$$P(0), \neg P(2) \vdash \exists x (P(x) \wedge \neg P(x + 1))$$

**Is there** an integer  $n$  such that we can **prove**  $P(n) \wedge \neg P(n + 1)$ ?

$$P(0), \neg P(2) \vdash P(n) \wedge \neg P(n + 1)$$

### Concrete example:

Let  $u_0 := \sqrt{2}$ ,  $u_{x+1} := u_x^{\sqrt{2}}$ , and  $P(x)$  be “ $u_x$  irrational”

$P(0), P(2)$ ?

Applying the above: There is  $x$  such that  $P(x)$  and  $\neg P(x + 1)$

Therefore: There is an irrational  $r$  ( $:= u_x$ ) such that  $r^{\sqrt{2}}$  rational

$r$  is either  $\sqrt{2}$  or  $\sqrt{2}^{\sqrt{2}}$ , depending on whether  $\sqrt{2}^{\sqrt{2}}$  is rational or not

## The mismatch

---

### Remark:

$\vdash P \wedge Q$  if and only if both  $\vdash P$  and  $\vdash Q$

The object-level  $\wedge$  matches the meta-level “and”

$\vdash \forall x P[x]$  if and only if for all terms  $t$  we have  $\vdash P[t]$  ( $t$  not necessarily closed)

The object-level  $\forall$  matches the meta-level “for all”

### Clearly:

If either  $\vdash P$  or  $\vdash Q$  then  $\vdash P \vee Q$

If there is a term  $t$  such that  $\vdash P[t]$  then  $\vdash \exists x P[x]$

### But

If you have...

... you don't necessarily have

$\vdash \exists x P[x]$

an  $n$  such that  $\vdash P[n]$

### Example

$\vdash \exists x (P(x) \vee \neg P(x + 1))$

an  $n$  such that  $\vdash P(n) \vee \neg P(n + 1)$

$\vdash P \vee Q$

either  $\vdash P$  or  $\vdash Q$

### Example

$\vdash P \vee \neg P$

either  $\vdash P$  or  $\vdash \neg P$  (e.g.  $P$  Goedel formula)

For  $\forall$  and  $\exists$ , there is a **mismatch** between the object-level and the meta-level

## The culprit and how to fix the mismatch

---

In all our examples, mismatch entirely due to:

- the **Law of Excluded Middle** ( $P \vee \neg P$ )
- or, equivalently, the **Elimination of Double Negation** ( $(\neg\neg P) \Rightarrow P$ ).

The fix is easy: **Disallow** those laws

You get what is called **Intuitionistic Logic**(s) -as opposed to Classical logic(s)

Distinction can be done for propositional logic, predicate logic, higher-order logic, etc.

**The claim:** we recover a full match between object-level and meta-level

- $\vdash P \wedge Q$  if and only if both  $\vdash P$  and  $\vdash Q$
- $\vdash \forall x P[x]$  if and only if for all terms  $t$  we have  $\vdash P[t]$
- $\vdash P \vee Q$  if and only if either  $\vdash P$  or  $\vdash Q$
- $\vdash \exists x P[x]$  if and only if there is a term  $t$  such that  $\vdash P[t]$

The above match works **in the empty theory**, **not in any theory!**

(imagine the theory  $P \vee Q$  or the theory  $\exists x P$ )

## **III. Computing with intuitionistic proofs**

## Constructivism

---

Seeking the witness property is part of a wider approach to mathematics called “**constructivism**”.

Objects that mathematics speak about must be “constructed”.

(Typical bad example: the **set of all sets**, from Russell’s paradox)

If we can always speak about an object we have shown to exist, then while showing its existence we must have constructed it.

Similarly, if we claim one of two things holds, we should be able to compute which one of the two holds.

Constructivism brought about a very computational view of what it is to do mathematics.

## A computational interpretation of intuitionistic logic

---

Following Brouwer–Heyting–Kolmogorov, Kleene interpreted formulae as sets of **realisers**:

$r \Vdash P_1 \wedge P_2$  if  $r = (r_1, r_2)$  with  $r_1 \Vdash P_1$  and  $r_2 \Vdash P_2$

$r \Vdash P_1 \vee P_2$  if  $r = \text{inj}_i(t')$  with  $r' \Vdash P_i$  for  $i = 0$  or  $i = 1$

$r \Vdash P_1 \rightarrow P_2$  if  $r$  is a computable function such that, whenever  $r' \Vdash P_1$ ,  $r(r') \Vdash P_2$

$r \Vdash \exists x P(x)$  if  $r = (a, r')$  with  $a$  an element of the “model” and  $r' \Vdash P(a)$

$r \Vdash \forall x P(x)$  if  $r$  is a computable function such that,  
for all elements  $a$  of the “model”,  $r(a) \Vdash P(a)$

Parameterised by a way to interpret atomic formulae

$r$  ranges over mathematical objects such as pairs, computable functions, etc

can be implemented as a **number**

(ok for pairs, injections, & computable functions can be assigned their Gödel numbers)

can be implemented as an **untyped  $\lambda$ -term** (untyped  $\lambda$ -calculus being Turing-complete)

**there comes the Curry-Howard correspondence**

## Through the C-H correspondence, intuitionistic proofs provide realisers

---

Let's use

- $\alpha, \beta$ , etc. for the variables annotating hypotheses  
(not to confuse with the variables  $x, y$ , etc. in the terms of predicate logic)
- $M, N$ , etc. for the  $\lambda$ -terms annotating proof-trees  
(not to confuse with the terms of predicate logic  $t, u, \dots$ )

$$\frac{}{\Gamma, \alpha : P \vdash \alpha : P}$$

$$\frac{\Gamma, \alpha : P \vdash M : Q}{\Gamma \vdash \lambda \alpha. M : P \Rightarrow Q}$$

$$\frac{\Gamma \vdash M : P \Rightarrow Q \quad \Gamma \vdash N : P}{\Gamma \vdash M N : Q}$$

**Theorem** : If  $\vdash M : P$  then  $M \Vdash P$

What about the other connectives?

We can **extend** the  $\lambda$ -calculus

to account for the introduction and elimination rules of the other connectives

$\wedge, \vee$

---

$$\frac{\Gamma \vdash M : P_1 \quad \Gamma \vdash N : P_2}{\Gamma \vdash (M, N) : P_1 \wedge P_2} \quad \frac{\Gamma \vdash M : P_1 \wedge P_2}{\Gamma \vdash \pi_i(M) : P_i} \quad i \in \{1, 2\}$$

$P_1 \wedge P_2$  is a **product type** “ $P_1 * P_2$ ”

Can you give a proof-term for the valid formula  $(P_1 \wedge P_2) \Rightarrow (P_2 \wedge P_1)$ ?

$$\frac{\Gamma \vdash M : P_i}{\Gamma \vdash \text{inj}_i(M) : P_1 \vee P_2} \quad i \in \{1, 2\}$$

$$\frac{\Gamma \vdash M : P_1 \vee P_2 \quad \Gamma, \alpha_1 : P_1 \vdash N_1 : Q \quad \Gamma, \alpha_2 : P_2 \vdash N_2 : Q}{\Gamma \vdash \text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto N_1, \text{inj}_2(\alpha_2) \mapsto N_2 : Q}$$

$P_1 \vee P_2$  is a **sum type** “ $P_1 + P_2$ ”

Can you give a proof-term for the valid formula  $(P_1 \vee P_2) \Rightarrow (P_2 \vee P_1)$ ?



$\forall, \exists, \perp$

---

$$\frac{\Gamma \vdash M : P}{\Gamma \vdash \lambda x.M : \forall x P} \quad \frac{\Gamma \vdash M : \forall x P}{\Gamma \vdash M t : \{\not{x}\} P}$$

Can you give a proof-term for the valid formula

$$(\forall x(p x)) \Rightarrow (\forall y(p y \Rightarrow q y)) \Rightarrow \forall z(q z)?$$

$$\frac{\Gamma \vdash M : \{\not{x}\} P}{\Gamma \vdash \langle t, M \rangle : \exists x P} \quad \frac{\Gamma \vdash M : \exists x P \quad \Gamma, \alpha : P \vdash N : Q}{\Gamma \vdash \text{let } \langle x, \alpha \rangle = M \text{ in } N : Q}$$

Can you give a proof-term for the valid formula

$$(\exists x(p x)) \Rightarrow (\exists y(p y \Rightarrow q y)) \Rightarrow \exists z(q z)?$$

$$\frac{\Gamma \vdash M : \perp}{\Gamma \vdash \text{abort}(M) : P}$$

$\neg P$  defined as  $P \Rightarrow \perp$ , and  $\top$  defined as  $\neg \perp$  (i.e.  $\perp \Rightarrow \perp$ )

## Summing up the syntax

---

	Intro-constructs	Elim-constructs	
$M, N, \dots ::= \alpha$			axiom
	$\lambda\alpha.M$	$M N$	$\Rightarrow$
	$(M, N)$	$\pi_i(M)$	$\wedge$
	$\text{inj}_i(M)$	$\text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto N_1, \text{inj}_2(\alpha_2) \mapsto N_2$	$\vee$
	$\lambda x.M$	$M t$	$\forall$
	$\langle t, M \rangle$	$\text{let } \langle x, \alpha \rangle = M \text{ in } N$	$\exists$
		$\text{abort}(M)$	$\perp$

## Reductions

---

$$\begin{aligned}(\lambda\alpha.M) N &\longrightarrow \{N/\alpha\} M \\ \pi_i((M_1, M_2)) &\longrightarrow M_i \\ \text{match } \text{inj}_i(M) \text{ with } \text{inj}_1(\alpha_1) \mapsto N_1, \text{inj}_2(\alpha_2) \mapsto N_2 &\longrightarrow \{M/\alpha_i\} N_i \\ (\lambda x.M) t &\longrightarrow \{t/x\} M \\ \text{let } \langle x, \alpha \rangle = \langle t, M \rangle \text{ in } N &\longrightarrow \{t, M/x, \alpha\} N\end{aligned}$$

+ some permutation rules such as

$$\begin{aligned}(\text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto N_1, \text{inj}_2(\alpha_2) \mapsto N_2) N &\longrightarrow \text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto (N_1 N), \text{inj}_2(\alpha_2) \mapsto (N_2 N) \\ \pi_i(\text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto N_1, \text{inj}_2(\alpha_2) \mapsto N_2) &\longrightarrow \text{match } M \text{ with } \text{inj}_1(\alpha_1) \mapsto \pi_i(N_1), \text{inj}_2(\alpha_2) \mapsto \pi_i(N_2) \\ \dots &\end{aligned}$$

## Recovering the match with the meta-level

---

**Reduction still preserves typing:** If  $\Gamma \vdash M : P$  and  $M \longrightarrow M'$  then  $\Gamma \vdash M' : P$

Through the Curry-Howard isomorphism,

this is describing a **proof transformation** process

**Termination** (proof: not today): We still have termination of typed terms

**Corollaries** (proof: not today):

**Consistency** There is no closed proof of  $\perp$

**Witness property** If  $\vdash \exists x P[x]$  then there is a term  $t$  such that  $\vdash P[t]$

**Disjunction property** If  $\vdash P_1 \vee P_2$  then either  $\vdash P_1$  or  $\vdash P_2$

**Conclusion:** In the empty theory, we recover a full match between object-level and meta-level (in the sense discussed before)

**Remark:** Law of Excluded Middle would break all of the above approach

## In non-empty theories

---

Axioms labelled by variables  $\alpha, \beta$ , etc.

without computational role

Theorem about shape of irreducible typed  $\lambda$ -terms no longer holds if not closed

In some theories (e.g.  $\mathcal{PA}$ ), a computational role can be given to axioms

Theorem holds again, and its corollaries:

Consistency, Witness property, Disjunction property

## Programming by proving

---

In arithmetic, does  $\forall x \exists y (x = 2 \times y \vee x = 2 \times y + 1)$   
have a proof in intuitionistic logic?

by **induction** on  $x$ !

What about  $\exists y (25 = 2 \times y \vee 25 = 2 \times y + 1)$  ?

What is the witness?

How do you compute it?

An intuitionistic proof of

$$\forall x \exists y (x = 2 \times y \vee x = 2 \times y + 1)$$

is a **program** that computes the half

here by **recursion** on  $x$ !

Its execution mechanism is the proof-transformation process described before

The program is *correct* with respect to the *specification*

$$x = 2 \times y \vee x = 2 \times y + 1$$

## **IV. Putting it all together: HOL with proof-terms, Dependent types**

## Using $\lambda$ -calculus for both propositions and proofs

---

So far in logic,  $\lambda$ -calculus used

- at the level of propositions in Higher-Order Logic
- at the level of proofs in the Curry-Howard correspondence

(so far in intuitionistic first-order logic)

Can we have combine the two in one system,  
with the  $\lambda$ -calculus operating at both levels?

This means

- **equip** (the intuitionistic version of) **HOL** with a notion of **proof-terms** based on  $\lambda$ -calculus
- equivalently, **extend the Curry-Howard correspondence** so that the types are the propositions of HOL

This is called **System  $F_\omega$**



## System $F_\omega$ , equipping (intuitionistic) HOL with proof-terms

---

HOL types  $A, B, \dots ::= \text{Prop} \mid a \mid A \rightarrow B$

HOL terms  $t, u, \dots ::= x \mid t \Rightarrow u \mid \forall x^A t \mid \lambda x. t \mid t u$

HOL proof-terms  $M, N, \dots ::= \alpha \mid \lambda \alpha. M \mid M N \mid \lambda x. M \mid M t$

We can write the following well-formed HOL propositions:

$$\forall x^{\text{Prop}} ((\forall y^{\text{Prop}} y) \Rightarrow x)$$

$$\forall x^{\text{Prop}} \forall y^{\text{Prop}} (((x \Rightarrow y) \Rightarrow x) \Rightarrow x)$$

$$\forall x^a \forall y^a ((\forall z^{a \rightarrow \text{Prop}} (z x \Rightarrow z y)) \Rightarrow (\forall z^{a \rightarrow \text{Prop}} (z y \Rightarrow z x)))$$

Can you find proof-terms for them?

## System $F_\omega$ , extending the C-H correspondence for propositional logic

---

Kinds  $A, B, \dots ::= \text{Prop} \mid a \mid A \rightarrow B$

Types  $t, u, \dots ::= x \mid t \Rightarrow u \mid \forall x^A t \mid \lambda x. t \mid t u$

Terms  $M, N, \dots ::= \alpha \mid \lambda \alpha. M \mid M N \mid \lambda x. M \mid M t$

We can write the following well-formed HOL propositions:

$$\forall x^{\text{Prop}} ((\forall y^{\text{Prop}} y) \Rightarrow x)$$

$$\forall x^{\text{Prop}} \forall y^{\text{Prop}} (((x \Rightarrow y) \Rightarrow x) \Rightarrow x)$$

$$\forall x^a \forall y^a ((\forall z^{a \rightarrow \text{Prop}} (z x \Rightarrow z y)) \Rightarrow (\forall z^{a \rightarrow \text{Prop}} (z y \Rightarrow z x)))$$

Can you find proof-terms for them?

## Different dependencies

---

types depend on types

e.g.  $z \ y$  on the previous slide

$A \rightarrow B$  allows  $\lambda x.t$  and  $t \ u$

(proof-)terms depend on (proof-)terms

e.g. to prove  $z \ y \Rightarrow z \ x$ , we must provide a proof-term for  $z \ x$  that can depend on a proof-term for  $z \ y$

$t \Rightarrow u$  allows  $\lambda \alpha.M$  and  $M \ N$

(proof-)terms depend on types

e.g. to prove  $\forall z^{\text{Prop}}(f \ z)$ , we must provide a proof-term for  $f \ z$  that can depend on the type  $z$

$\forall x^A t$  allows  $\lambda x.M$  and  $M \ t$

## Dependent types

---

Types depending on terms? e.g. the type of lists of length  $n$ :

$\text{list } n$

Currently, impossible to write  $\forall n^{\text{nat}} \forall l^{\text{list } n} P(n, l)$

if  $(\text{list } n)$  is a type, and therefore  $l$  is a proof-term variable, we do not have the quantifier  $\forall l^{\text{list } n}$  or the possibility to make  $P(n, l)$  really depend on  $l$ .

**Dependent types** add that possibility

Extending  $F_\omega$  with this gives the Calculus of Constructions (CoC)

Alternative is to make kinds depend on types (i.e. “**Dependent kinds**”),  
so that  $(\text{list } n)$  is a valid kind.

## Once everything depends on everything

---

One realises that  $A \rightarrow B$  and  $t \Rightarrow u$  are really particular cases of  $\forall x^A B$  and  $\forall \alpha^t u$  when  $x \notin \text{FV}(B)$  and  $\alpha \notin \text{FV}(u)$

Instead of defining

- first, what well-formed (=well-typed) terms and formulae are
  - second, what the notion of provability on formulae is (via e.g. an inference system)
- ... one defines them both at the same time via a single judgement

$$\Gamma \vdash M : A$$

“In environment  $\Gamma$ ,  $M$  has type  $A$  /  $M$  is a proof of  $A$ ”

A logic talking about the functions described by typed  $\lambda$ -terms ... is a logic that talks about its own proofs (careful there: remember a logic is either inconsistent or cannot prove its own consistency)

## Calculus of Constructions

---

Let Prop = Type<sub>0</sub>

$$\begin{array}{c}
 \overline{\quad} \text{wf} \qquad \frac{\Gamma \vdash A : \text{Type}_i}{\Gamma, x : A \text{ wf}} \text{ } x \text{ fresh} \\
 \\
 \frac{\Gamma \text{ wf}}{\Gamma \vdash \text{Type}_0 : \text{Type}_1} \qquad \frac{\Gamma \vdash A : \text{Type}_i \quad \Gamma, x : A \vdash B : \text{Type}_j}{\Gamma \vdash \forall x^A B : \text{Type}_j} \\
 \\
 \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x^A. M : \forall x^A B} \qquad \frac{\Gamma \vdash M : \forall x^A B \quad \Gamma \vdash N : A}{\Gamma \vdash M N : \{N/x\} B} \\
 \\
 \frac{\Gamma \text{ wf}}{\Gamma \vdash x : A} (x : A) \in \Gamma \qquad \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : \text{Type}_i}{\Gamma \vdash M : B} A \longleftrightarrow^* B
 \end{array}$$

Careful: “everything depends on everything” could suggest there is just one Type, with Type : Type. This is **inconsistent**

Instead, consistency relies on Type<sub>0</sub> : Type<sub>1</sub>, and can generalise to an infinite hierarchy of **universes** Type<sub>0</sub> : Type<sub>1</sub> : ⋯ : Type<sub>i</sub> : ⋯

## Inductive types

---

Many proof assistants (Coq, Matita, Lean, Agda, Epigram, Twelf, Lego, etc) are developed for variants of this logic, with some features removed or added.

Coq, Matita, etc add to the Calculus of Constructions (with infinitely many universes) **inductive types**, which generalise in that logic the algebraic datatypes of ML languages, and are used to represent

- enumerated types, e.g. booleans  $\{\text{true}, \text{false}\}$  (different from Prop!)
- tuples, records
- natural numbers
- lists
- trees
- other logical connectives  $\wedge, \vee$ , etc
- existential quantifier
- equality

## **V. Special treatment of equality: interpretation of its proofs**



## Equality

---

In pure first-order logic: well-understood, both semantically and syntactically  
reflexivity + Leibniz

$$\frac{}{\Gamma \vdash t = t} \quad \frac{}{\Gamma \vdash t = u \Rightarrow P(t) \Rightarrow P(u)}$$

If logic / theory talks about specific kinds of individuals (sets, functions, proofs)  
important choices need to be made

(by tuning your first-order axioms / tuning your logical system)

- **Sets** are usually taken to be extensional:  $\forall xy, (\forall z, z \in x \Leftrightarrow z \in y) \Rightarrow x = y$
- **Functions**? it depends.

In set theory, a function is represented as a set (its graph)  $\Rightarrow$  **extensional**

Seen as programs, it is not unnatural to consider some **intentionality**

- Equality of **formulae**, equality of **proofs**?

In Frege's tradition, where predicates are seen as functions to  $\{\text{true}, \text{false}\}$ , they are usually extensional.

A logic that talks about its own proofs can **state** an equality between two proofs.

When is the statement provable?

## Equality in type theory

---

... is an inductive type with one constructor for reflexivity  $\text{eq\_refl} : t = t$

**Question:** how to computationally interpret equality and proofs of equality (as we interpreted other formulae as sets of realisers, and proofs as realisers)?

Typing rules for eliminating inductive types are sufficient to get e.g. the following axioms of arithmetic are provable

- Leibniz principle (in-built, no need for axioms)
- disequality of terms of an inductive type with different constructors at their head
  - $\forall n(0 \neq S n)$
  - $\forall nm((S n = S m) \Rightarrow (n = m))$
- constructors of inductive types are injective

But type theory does not impose that the interpretation of  $t = u$  has a realiser if and only if the interpretation of  $t$  is equal to the interpretation of  $u$

A modern idea is to interpret types as **topological spaces**, and let the realisers of  $t = u$  be the (continuous) **paths** from the interpretation of  $t$  to that of  $u$

This is **Homotopy Type Theory** (HoTT)

## A few points about Homotopy Type Theory 1/2

---

- There is an equality between  $t$  and  $u$  if they belong to the **same connected component** in the interpretation of their type
- There can be different proofs of an equality  $t = u$ , interpreted as different paths
- We can formalise a notion of equality between two proofs of equality  $\pi$  and  $\pi'$  as the possibility to **continuously deform** one path into the other (this is how paths of a topological space form a topological space)
- We can state the equality between two proofs of equality between proofs of equality, and so on and so forth...

## A few points about Homotopy Type Theory 1/2

---

- Homotopy theorists like to impose the **univalence** axiom, which entails that isomorphic types are equal (this does not come for free in standard type theory)
- A characterisation of usual notions comes out of the following hierarchy:
  - “**Propositions**” are types that are either empty or entirely connected, with the types of equalities entirely connected, the types of equalities between equalities entirely connected, etc
  - “**Sets**” are types whose elements may be equal “in at most one way”, i.e. equalities between its elements are propositions
  - etc

## Main thing to take away

---

Via the Curry-Howard correspondence

**Programming = Proving**

Proving proposition  $A$  = Inhabiting type  $A$

## **VI. Appendix**

## More detailed slides on $\lambda$ -calculus

### Three constructs:

- variables, e.g.  $x, y, z$
- applications, e.g.  $t u$
- $\lambda$ -abstractions, e.g.  $\lambda x.t$

### Notational conventions:

Implicit parentheses: the concrete syntax  $t_0 t_1 \dots t_n$  means  $(\dots (t_0 t_1) \dots t_n)$

Scope of  $\lambda$ -abstractions: when writing the concrete syntax  $\lambda x. \dots$ ,

as much of  $\dots$  as possible must be understood to be under the  $\lambda$ -abstraction,

e.g.  $\lambda x.xy$  means  $\lambda x.(xy)$ , not  $(\lambda x.x)y$

Free variables:

$$\text{FV}(x) \quad := \quad x$$

$$\text{FV}(\lambda x.t) \quad := \quad \text{FV}(t) \setminus \{x\}$$

$$\text{FV}(t u) \quad := \quad \text{FV}(t) \cup \text{FV}(u)$$

$x$  is *bound* in  $\lambda x.t$

In other words,  $\lambda$ -terms are defined by the following syntax:

$$t, u, v, \dots ::= x \mid t u \mid \lambda x.t$$

The syntax is quotiented by  $\alpha$ -equivalence, e.g.  $\lambda x.x$  and  $\lambda y.y$  are the same  $\lambda$ -term

Substitution  $\{u/x\}t$  defined by induction on  $t$  in a way that avoids variable capture

## Reduction

---

One reduction rule, called  $\beta$ -reduction:  $(\lambda x.t) u \longrightarrow \{u/x\}t$

We do not only reduce at the root of terms, but also deep inside them.

$\longrightarrow^*$  is reflexive and transitive closure of  $\longrightarrow$

$\longleftrightarrow^*$  is reflexive, transitive and symmetric closure of  $\longrightarrow$

**Currification:** No need to explicitly model functions with several arguments, since a function  $f$  with 2 arguments  $(x, y) \mapsto e[x, y]$  can be seen as a function  $g$  mapping one argument  $x$  to: the function that maps  $y$  to  $e[x, y]$

$$(x, y) \mapsto e[x, y] \text{ equivalent to } x \mapsto (y \mapsto e[x, y])$$

In  $\lambda$ -calculus syntax:  $\lambda x.\lambda y.e[x, y]$

To apply it, instead of writing  $f(x, y)$ , write  $((g x) y)$

**Example:**  $(\lambda x.\lambda x'.x') ((\lambda y.y) z) ((\lambda y.y) z')$

How many ways to reduce this term? Several.

In this case, they all end up with the same (irreducible)  $\lambda$ -term  $z'$

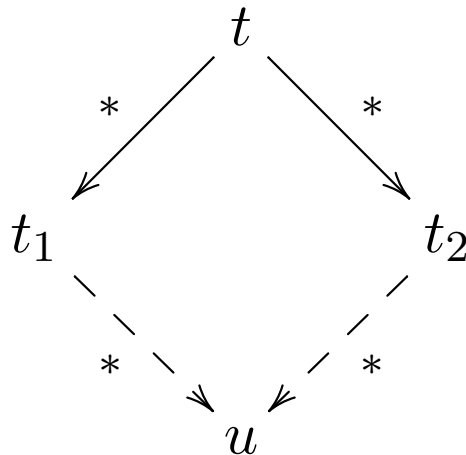


## Confluence

Is this a general property? Yes!

**Theorem:** the relation  $\longrightarrow$  is *confluent*, i.e.

If  $t \longrightarrow^* t_1$  and  $t \longrightarrow^* t_2$ , then there exists  $u$  such that  $t_1 \longrightarrow^* u$  and  $t_2 \longrightarrow^* u$



**Proof:** not today

**Corollary:** Irreducible forms are unique, i.e.

Given a  $\lambda$ -term  $t$ , there is **at most one** irreducible  $u$  such that  $t \longrightarrow^* u$

**Existence** of  $u$ ?

What about  $\omega = (\lambda x.x x) (\lambda x.x x)$  ?

What about  $(\lambda x.y) \omega$  ?

## The simply-typed $\lambda$ -calculus

---

We consider some *base types*:  $a, b$ , etc

### Syntax of types

$$A, B, C, \dots ::= a \mid A \rightarrow B$$

**Notational conventions** on implicit parentheses:

the concrete syntax  $A_1 \rightarrow \dots \rightarrow A_n \rightarrow A_0$  means  $A_1 \rightarrow (\dots \rightarrow (A_n \rightarrow A_0) \dots)$

**Typing context**  $\Delta, \dots$ : finite map from  $\lambda$ -calculus variables to types

**Notation**:  $\Delta$  can be for instance  $x_1 : A_1, \dots, x_n : A_n$       We can write  $\Delta, x : A$

**Typing** is a relation between 3 things: a context, a  $\lambda$ -term and a type

defined inductively by typing rules:

$$\frac{}{\Delta, x : A \vdash x : A}$$
$$\frac{\Delta \vdash t : A \rightarrow B \quad \Delta \vdash u : A}{\Delta \vdash t u : B} \qquad \frac{\Delta, x : A \vdash t : B}{\Delta \vdash \lambda x. t : A \rightarrow B}$$

## Properties of the typing system

---

**Remark:** If  $\Delta \vdash t : A$  then  $FV(t)$  is included in the domain of  $\Delta$

**Reduction preserves typing:** If  $\Delta \vdash t : A$  and  $t \longrightarrow t'$  then  $\Delta \vdash t' : A$

**Proof:** easy induction on the inductive property  $t \longrightarrow t'$

**Termination:** If  $\Delta \vdash t : A$  then all reduction paths starting from  $t$  are finite

**Proof:** not today

## After extending the $\lambda$ -calculus to treat $\wedge, \vee, \forall, \exists, \perp$

---

**Remark:** If  $\Gamma \vdash M : P$  then  $\text{FV}(M)$  is included in the domain of  $\Gamma$

**Substitution:** If  $\Gamma, \alpha : P \vdash M : Q$  and  $\Gamma \vdash N : P$ , then  $\Gamma \vdash \{N/\alpha\} M : Q$

**Reduction still preserves typing:** If  $\Gamma \vdash M : P$  and  $M \longrightarrow M'$  then  $\Gamma \vdash M' : P$

Through the Curry-Howard isomorphism,

this is describing a **proof transformation** process

**Termination:** If  $\Gamma \vdash M : P$  then all reduction paths starting from  $M$  are finite  
(careful with the permutation rules, though)

The process of transforming proofs terminates, producing proofs of a **particular shape**:  
the typing trees of irreducible  $\lambda$ -terms

**Corollary:**

Every theorem  $P$  that has a proof in a theory  $\mathcal{T}$ , also has a proof **of that shape**

## Shape of those proofs in the empty theory

---

### Theorem:

1. Any **closed**, **irreducible** and **typed**  $\lambda$ -term, is an intro-construct
2. There is no **closed**, **irreducible**  $\lambda$ -term of type  $\perp$

**Proof:** by simultaneous induction on the size of  $\lambda$ -terms  
(and 2. easy consequence of 1.)

## Corollary (still in the empty theory)

---

**Consistency:** intuitionistic predicate logic without axioms is consistent

**Proof:** If  $\perp$  has a proof, it also has a proof whose  $\lambda$ -term is an intro-construct.  
Impossible.

**Witness property:** if  $\vdash \exists x P[x]$  then there is a term  $t$  such that  $\vdash P[t]$

**Proof:** The proof can be transformed into a proof annotated by an intro-construct, necessarily  $\langle t, M \rangle$ , which provides  $t$  and the proof of  $\vdash P[t]$

**Disjunction property:** if  $\vdash P_1 \vee P_2$  then either  $\vdash P_1$  or  $\vdash P_2$

**Proof:** The proof can be transformed into a proof annotated by an intro-construct, necessarily  $\text{inj}_i(M)$ , where  $M$  annotates a proof of  $\vdash P_i$

**Conclusion:** In the empty theory, we recover a full match between object-level and meta-level (in the sense discussed before)

**Remark:** Law of Excluded Middle would break all of the above approach